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WITNESS my hand this Ninth day of February 2005

JANENE PEISKER
TEAM LEADER EXAMINATION
SUPPORT AND SALES

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# PROVISIONAL SPECIFICATION

Invention Title:

**Bifocal contact lens** 

The invention is described in the following statement:



#### Bifocal contact lens

#### Field of the invention

This invention relates to contact lenses, and more particularly to bifocal contact lenses in which at least one primary and one secondary lenses are present.

#### **Background of the invention**

Bifocal lenses are comprised of two or more areas, or zones, with different optical powers, including typically a far-power zone for distance vision, and a near-power zone for near or close up vision. The two zones may be subdivided into additional power zones in which case the lens may be called a multifocal lens.

The retinal image and the visual percept that results from it are dependent upon the light that enters the eye through the entrance pupil. In order for a bifocal contact lens to function properly the entrance pupil must be covered at least partly or, more effectively, completely by the far-power zone of the lens when the eye observes a distant object and covered at least partly or, more effectively, completely by the near-power zone of the lens when the eye observes a near object. This function can be accomplished by the principle of alternating vision in which a vertical shifting action or translation of the contact lens is made to occur in order to place one or the other zone in front of the entrance pupil as the eye alternates between viewing distance and near objects.

An alternative principle, known as simultaneous vision can be utilized whereby the lens is designed and fitted in such a way as to position part or all of both the far and near-power zones in front of the entrance pupil at the same time so that each contributes to the retinal image simultaneously. This type of lens requires no translation, but has the drawback that two images are viewed simultaneously. The present invention is not concerned with this type of lens.

In rigid prism bifocal contact lenses the lower edge of the lens tends to rest on the upper margin of the lower lid. When the wearer views a distant object the far-power zone ideally is positioned to cover the entrance pupil of the eye, and the near-power zone is positioned below the entrance pupil. The lens is held in position by gravity and the downward force of the upper lid. For near vision tasks, the eye rotates downward and the

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contact lens ideally shifts upward relative to the eye, moving the near-power zone to a position in front of at least part of the entrance pupil in order to provide an optical correction for near vision.

Attempts have been made to design soft contact lenses which operate in a similar manner to that described above with hard lenses. However, soft bifocal contact lenses tend to be much larger than rigid contact lenses, usually between 13 and 15 mm in diameter, and often extend beyond the limbus of the eye. When a soft prism bifocal contact lens is worn, the thicker portion of the lens moves downward and lies beneath the lower lid. As a result, the lens is not supported or braced by the upper margin of the lower lid. Hence, the prism component is successful in moving a soft prism bifocal contact lens to the desired low position and controlling meridional rotation but is not successful in inducing a vertical lens shifts as the eye looks back and forth between distant and near objects.

Presently available soft bifocal contact lenses do not have sufficient vertical shifting action to fulfil the alternating vision principle and thus do not provide acceptable vision for both distance and near viewing. Most soft bifocal contact lenses that are available today are of the concentric bifocal type, and operate on the principle of simultaneous vision. It is recognized that theses lenses do not provide good vision for both distance and near viewing and are only worn successfully by those who are willing to accept less than optimal vision.

Devices are known to induce a vertical shift in a soft bifocal lens. US Patent No 6,109,749 describes a soft bifocal contact lens that has an integrally formed bevel to aid translation of the lens. The bevel portion has upper and lower shoulders which converge to form an extended bevel. The bevel does not form part of the optical portion of the lens. US Patent No 5,635,998 shows a multifocal contact lens that has an ellipsoidal shape and a single prism, which in combination produce an elongated zone of contact between the base portion of the prism and the lower eyelid. US Patent No 5,912, 719 shows a lens that is comprised of palpebral (lid) bosses projecting locally from the external surface in the peripheral circumferential direction and with a crest line if limited dimensions. The crest line has a peak in its middle area.

US Patent Application 20030016331 discloses a soft bifocal contact lens incorporating two or more prisms into the same lens, which operate together but with different structure and function. One of the primary prisms provides a desired lens vertical positioning on the eye during distance viewing and control of meridional rotation in the plane of the corneal perimeter, the limbus. In addition, a secondary prism has a base that extends forward from the adjacent lens surface and provides for vertical lens shifting, or translation, so that the desired optical power zone of the contact lens is moved in front of the entrance pupil of the eye at the desired time. Typically the lens contains a segmented bifocal area on one surface in which the far-power zone of the lens is uppermost and the near-power zone lowermost in position.

Accordingly, devices are know for use with both soft and hard bifocal contact lenses for translating the lens automatically to provide for the desired optical power zone of the lens to be in front of the entrance pupil of the eye at the desired time. One problem, however with translation type bifocal contact lenses is that in the absence of external forces such as those caused by interference of eyelids, a lens placed on the eye will tend to move to a position of minimum potential energy. This process is commonly referred to as "centration". Potential energy, in the sense used in this specification is determined by a combination of gravitational forces, internal elastic forces, surface tension, pressure/suction under the lens, and lens/tear film/eye interaction. The contribution from interaction with the eyelids is excluded from the concept of potential energy.

Although translation or displacement of the lens is a three-dimensional quantity (x, y, and rotation), complicated further by distortion of the lens, for the purposes of this specification it will treated as a one-dimensional variable (generally representing vertical displacement).

Displacing a lens from the minimum-energy position requires the application of an external force. Displacement increases the potential energy of the lens, and so when the displacing force is removed the lens tends to return to the position of minimal energy, that is, it re-centres itself. Ideally, the lens optics are reconciled with shape so that this minimum-energy position provides the desired optical correction. Of course, with translation type bifocal contact lenses, as discussed above, the lens has two positions

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where it must provide the desired optical correction, one corresponding to near vision, and the other to distance vision.

In prior art lenses, in one or other of the positions, the lens is not in its minimum potential energy position. Holding the lens away from its minimum-energy position requires the presence of an externally applied force., such as interaction with the eyelid, which must be maintained for as long as the lens is to stay in this off-centre position. The application of this force can potentially cause discomfort or mechanical injury to the delicate structures of the eye.

Furthermore, any variation in the position of the eyelid is likely to change the force acting on the lens, and hence alter its position on the eye, potentially interfering with optical performance.

#### Summary of the invention

According to the invention there is provided a contact lens having near vision and distance vision portions, said lens being movable on the eye between first and second positions, said positions corresponding with aligning the wearer's vision through said near vision and distance vision portions respectively, said lens being positionally stable on the eye in each of said positions, and requiring a force to be applied to said lens to move between said first and second positions.

Further there is provided for said lens to have a back surface and a front surface, and for said back surface to have one major back curve zone occupying a major portion of the lens back surface. Said major portion may comprise at least 50% of the back surface. Optionally the peripheral edge of the lens does not form part of said major back curve zone.

Optionally the back surface is defined by major and minor concave surfaces. The shape of the back surface preferably conforms to a continuous second derivative. More preferably, the shape of back surface conforms to an infinitely continuously differentiable function. Optionally the peripheral edge of the back surface of the lens does not form part of said major and minor surfaces.

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An alternative is for at least the central part of the back surface of the lens to comprise a concave surface, that is, any two points on the central part of the back surface may be connected by a straight line that does not pass through the lens between those points. Optionally the concave surface will cover the entire back surface of the lens, however, the peripheral edge thereof need not form part of said concave surface.

Said lens may be positionally more stable in one position rather than the other, thus requiring a greater force to move from the more stable position to the less stable position than visa versa.

The lens may be soft, and change in cross sectional shape in moving between said first and second positions. A partial inversion of the lens may occur during said change in cross sectional shape.

## Brief description of the drawings

Figure 1 shows a graph plot of potential energy against displacement for a prior art contact lens;

Figure 2 shows a similar plot of a contact lens according to the invention;

Figure 3 shows a front view of a bifocal contact lens of the type having different zones for near and distance viewing, and which requires translation of the lens in order for the respective zones to be positioned in front of the entrance pupil of the eye.

Figure 4 shows a cross-sectional view through one embodiment of contact lens according to the invention; and

Figure 5 shows a cross-sectional view through a second embodiment of contact lens according to the invention.

# Detailed description of the embodiments

Referring initially to Figure 1, it will be noted that the central position of the lens on the eye, indicated at numeral 10 on the graph, is the minimum potential energy position. Movement of the lens away from that position, in either direction, will increase the potential energy of the lens, and accordingly some form of external force will be required to hold the lens in the higher potential energy position.

Figure 2, on the other hand, depicts two low potential energy points on the graph, indicated at numerals 12 and 14. These two low energy points will, in accordance with the invention, correspond with the position of the lens on the eye which corresponds with optimal positions of the contact lens for near-viewing and distance-viewing. Optionally, one of those positions, is at a lower potential energy than the other position, so that the lowest potential energy position becomes more stable, making it harder to inadvertently leave this position. For safety reasons it is important that the lens does not inadvertently move from the distance-viewing position to the near-viewing position. In many situations, such as driving a vehicle, inadvertent movement of the lens from the distance viewing position to the near-viewing position could potentially cause a risk situation; making the distance viewing position harder to dislodge reduces the risk of this occurrence.

Intermediate the near and distance-viewing positions is a position of slightly higher energy, which might conveniently be referred to as a "detent" position, indicated at numeral 16. The force used to translate the lens from the near to the distance-viewing position, and visa versa, will need to be sufficient to push the lens over the detent position 16, whereafter the lens should slide under the influence of its potential energy, into the other low energy position. It will be noted that the transition between the two low energy positions is relatively flat and smooth, ensuring that no mechanical damage to the surface of the eye will occur during translation. The energy curve outside of the two low energy positions is relatively steep, as indicated at 18, ensuring the only translation of the lens that will occur is between the two low energy positions.

A typical soft bifocal lens 20 according to the invention is depicted in Figure 3 of the drawings. As shown the lens includes a peripheral region 22, and a central region 24. The central region is divided into two zones, a distance-viewing zone 26 and a near-viewing zone 28, located below the distance-viewing zone. The two zones are separated by a junction 30. An entrance pupil of the eye, indicated by dotted line 32 is typically aligned with the distance-viewing portion of the lens, and is centred with respect to the geometric centre of the lens 20. The lens 20 has a ledge or prism 34 against which the upper edge of the lower lid engages to translate the lens.

Another type of lens, not shown, may be similar to that described in US Patent 6,109,749 which discloses an integrally formed bevel against which the lower lid engages to translate the lens. Other types of translation mechanisms are described below. In other words, the mechanism to effect translation of the lens between its near and distance-viewing positions may be of the type shown in this patent specification, or in one of the other specifications referred to in the background section of this specification.

As shown in Figure 4 of the drawings, which discloses a lens 40 having an inner surface 42 with two dimples or convex recesses 44 and 46 therein, each of which corresponds to a position of minimum energy. By translating the lens upwardly from the position indicated in Figure 4A to the position indicated in Figure 4B the lens will move from the distance-viewing position to the near-viewing position. It will be appreciated that great care must be taken with the inner shape of the lens between the two stable positions in order to avoid mechanical injury to the eye when sransiting between the two positions. As mentioned previously, it is also important to avoid inadvertent transition from the distance viewing position to the near-viewing position which could be dangerous in driving and other situations where accurate distance-viewing is important. This could be achieved by making the distance viewing position a lower energy position than the near-viewing position.

A different mechanism for achieving the bi-stable contact lens arrangement is depicted in Figure 5 of the drawings. Figure 5A depicts a lens 50 of undeformed shape prior to being placed on the eye of the wearer. The lens 50 is deformable by inversion of either the lower part of the concave portion of the lens, or the upper part of the lens may invert, as indicated in Figures 5B and 5C respectively. It will be noted that the Figure 5B illustration the lower part 52 of the lens has inverted in the region of the upper limbus of the eye 54, whereas in the Figure 5C illustration, the upper part 56 of the lens has inverted, to nest into the lower limbus of the eye. Clearly the Figure 5B illustration depicts the position of the lens for distance-viewing, whereas Figure 5C depicts the position of the lens for near-viewing.

It will be appreciated that the present invention obviates the need for an eyelid, or other mechanism within the eye to be used to maintain the lens in position, in either the

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near-viewing position, or the distance-viewing position. It is envisaged that this will to a significant extent reduce the discomfort and adverse reaction to the lens associated with constantly holding the lens in a non-minimum energy position. The current approach to holding the lens in one of those positions, that is providing a ledge or cropping the lens to achieve the necessary interaction will be avoided, thereby minimising discomfort, and also assisting in the manufacturing of the lens. Since the present invention only requires a transient force to translate the lens, the ledges, bevel, or other formation can be of smaller overall dimension, or possibly eliminate all together.

Another use of the lens might be for purposes other than bifocal vision correction. For instance, a lens with a tinted upper half and a clear lower half would allow the wearer to block out bright sunlight outside, then switch to the clear section of the lens for inside use.

As has previously been mentioned, the back surface of the contact lens, that is the surface in contact with the sensitive tissues of the eye, must be constructed so as to cause minimum mechanical damage or irritation to the eye. Examples of back surface shape include the following:

- The back surface might be entirely concave, that is, any two points on the back surface may be connected by a straight line that does not pass through the lens between those two points.
- The back surface might be similar to 1 above, excluding the peripheral portion of the lens.
  - The back surface might have an infinitely continuously differentiable shape. Such a shape would be defined by a single mathematical function. Such a surface would typically be smooth, to allow for non-damaging translation.
- The back surface might be similar to 3 above, excluding the peripheral portion of the lens.
  - The back surface might have a shape defined by a continuous second derivative, rather than being infinitely continuously differentiable.

- The back surface might be similar to 5 above, excluding the peripheral portion of the lens.
- 7 The back surface might be entirely concave, as in 1 or 2 above, but the lens itself might be deformable in different positions on the eye.

The invention described herein has been described specifically in relation to bifocal lenses. However it is to be understood that the concepts disclosed could be equally well applied to multifocal lenses, or other types of translating lenses.

It will be understood that the invention disclosed and defined herein extends to all alternative combinations of two or more of the individual features mentioned or evident from the text or drawings. All of these different combinations constitute various alternative aspects of the invention.

The foregoing describes embodiments of the present invention and modifications, obvious to those skilled in the art can be made thereto, without departing from the scope of the present invention.

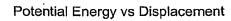
Dated this 29th day of January 2004

The Institute for Eye Research
by its attorneys
Freehills Carter Smith Beadle

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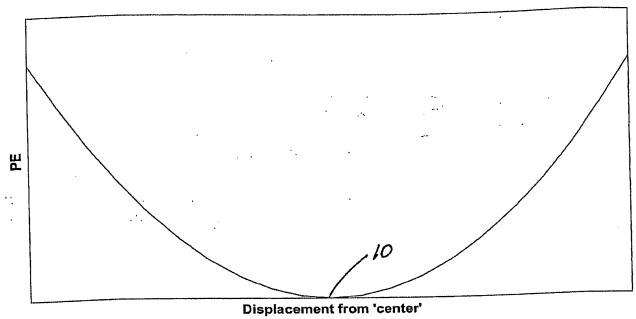


FIG. 1

### Potential energy vs displacement

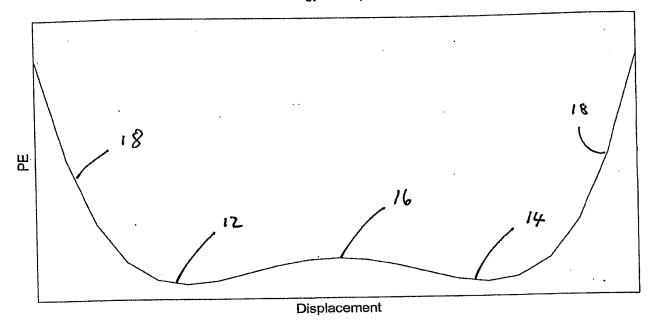


FIG. 2

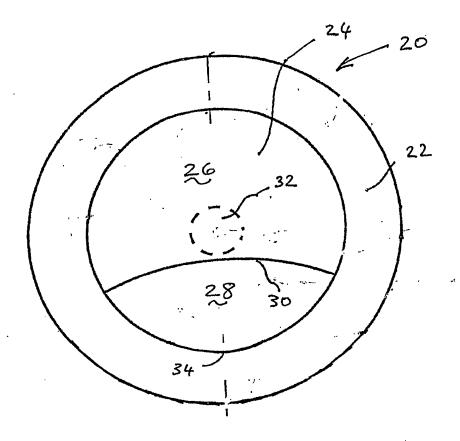
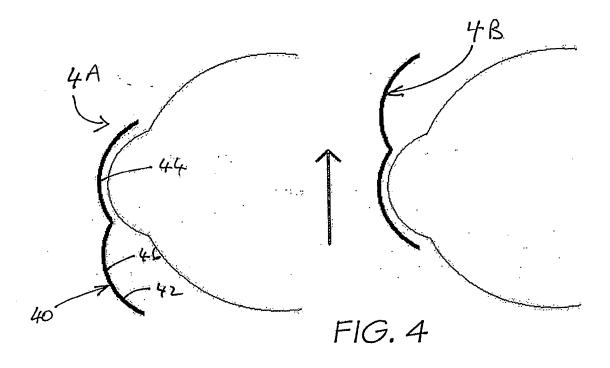


FIG. 3



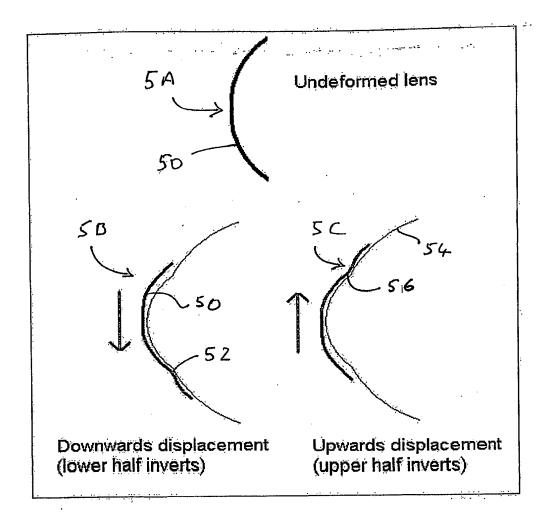


FIG. 5